**PATENT** 

IAP6 Rec'd PCT/PTO 17 AUG 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: Applicants: To Be Assigned

Kinji ISAKA

Filed:

Herewith

Title:

HEAT EXCHANGE TYPE VENTILATOR

TC/A.U.:

To Be Assigned

Examiner:

To Be Assigned Confirmation No.: To Be Assigned

Docket No.:

MAT-8882US

## **INFORMATION DISCLOSURE STATEMENT**

## Mail Stop PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO SB/08(a) and PTO SB/08(b) form submitted herewith. A copy of the references listed on the PTO SB/08(a) and PTO SB/08(b) are enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 06-002038 1.
- 2003-148780 2.
- 3. 58-002527
- 4. 05-141737
- 5. 04-283333

- 6. 62-019631
- 7. 63-190837
- 8. 02-103640

References 1), 3), 7) and 8) include an English translation, and References 2), 4), 5), and 6) include an English language abstract. In addition, Reference 8) also has a "concise explanation" under 37 CFR § 1.98(a)(3) as set forth in the background portion of the specification.

References 1) to 7) were cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office, is submitted herewith. MPEP Section 609 IIIA(3), second paragraph.

This Information Disclosure Statement is being filed concurrently with the above-

referenced application.

Respectfully submitted.

Lawrence E. Ashery, Reg. No. Attorney for Applicant

LEA/fp

Enclosures: PTO/SB/08A and PTO/SB/08B

(8) References

International Search Report

Dated:

August 17, 2006

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

EXPRESS MAIL: Mailing Label No.: EV 766497 911 US Date of Deposit: August 17, 2006

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date Indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA: 22313-1450.

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Complete if Known Substitute for Form 1449/PTO 158 To Be Assigned **Application Number** Herewith INFORMATION DISCLOSURE Filing Date STATEMENT BY APPLICANT Kinji ISAKA First Named Inventor (Use as many sheets as necessary) To Be Assigned Art Unit To Be Assigned Examiner Name

Attorney Docket No.

	U.S. PATENT DOCUMENTS					
_		Document Number			Pages, Columns, Lines, Where	
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2 (If known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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SHEET 1 of 2

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup>	Publication Date (MM-DD-YYYY)	, Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6
		JP 06-002038 (with English translation)	01/14/94	MATSUSHITA SEIKO CO LTD		
		JP 2003-148780 A (with English abstract)	05/21/03	DAIKIN IND LTD		
		JP 58-002527 (with English translation)	01/08/83	MITSUBISHI ELECTRIC CORP		
		JP 05-141737 A (with English abstract)	06/08/93	MITSUBISHI ELECTRIC CORP		
•		JP 04-283333 (with English abstract)	10/08/92	DAIKIN IND LTD		
		JP 62-019631 A (with English abstract)	01/28/87	MITSUBISHI ELECTRIC CORP		
		JP 63-190837 (with English translation)	12/08/88	MATSUSHITA SEIKO CO LTD		
		JP 02-103640 (with English translation)	08/17/90	MATSUSHITA ECOLOGY SYSTEMS CO LTD		

Examiner	Date Considered	
Signature		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).

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Senter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

12 123 2 13 14 AUG 2006

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Substitute for Form 1449/PTO	Complete if Known		
	Application Number	To Be Assigned 1, 589774	
INFORMATION DISCLOSURE	Filing Date	Herewith	
STATEMENT BY APPLICANT	First Named Inventor	Kinji ISAKA	
(Use as many sheets as necessary)	Art Unit	To Be Assigned	
	Examiner Name	To Be Assigned	
SHEET 2 of 2	Attorney Docket No.	MAT-8882US	

NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²		
		International Search Report for Application No. PCT/JP2005/003070, dated March 29, 2005.			
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Examiner	T	Date			

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